

PTO/SB/97 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number.

concern Act of 1995, no persona are required to respond to a collection of information unless it contains a valid Olivia control numb

Certificate of Transmission under 37 CFR 1.8

Attorney Docket No. BARR0005

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office From: GLENN PATENT GROUP

Customer No.: 22,862 Tel: (650) 474-8400 Fax: (650) 474-8401

on 05 September 2005

Date

Signature

Della Revecho

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Attached to this cover-sheet please find the following documents:

- 1. Part B Fee Transmittal (1 page); and
- 2. Fee Authorization (1 page in duplicate)

This collection of Information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 inhuites to complete, including gathering, proparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of: Stefek, et al.

Docket No.: BARR0005

Serial No.: 09/895,605

Art Unit: 2121

Filed: 29 June 2001

Examiner: Booker, Kelvin E.

Title: METHOD AND APPARATUS FOR AN INTEGRATIVE MODEL OF

MULTIPLE ASSET CLASSES

05 October 2005

Commissioner of Patents Mail Stop - Issue Fee P.O. Box 1450 Alexandria, VA 22313-1450

ISSUE FEE AUTHORIZATION

Sir:

Enclosed are the following documents:

- 1. Certificate of Transmittal (1 page); and
- 2. Part B Issue Fee Transmittal (1 page)

The Issue Fee of \$1700 and the fee of \$30 for 10 soft copies were paid on 10 March 2005, therefore, Applicant does not believe that filing of this Amendment will incur additional fees. However, the Commissioner is authorized to charge any fees due to the Glenn Patent Group Deposit Account No. 07-1445, Customer No. 22862. Applicant considers this document to be filed in a timely manner.

Respectfully submitted,

Michael A. Glenn · Reg. No. 30,176

Customer No. 22862